



Patent
Attorney's Docket No. 032735-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Yasushi SHIGEMORI et al.

Application No.: 09/607,361

Filed: June 30, 2000

For: LIGATION OF DOUBLE-STRANDED
DNAs

Group Art Unit: 1636

Examiner: K. Katcheves

Confirmation No.: 9813

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**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [] The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [] A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [] A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [] Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ [] A check in the amount of \$_____ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: June 18, 2003

By: Jay F. Williams
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Registration No. 48,036

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FOURTH
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56,
Applicants hereby submit a copy of U.S. Patent No. 6,541,226 ("the '226 patent") issued to
Shigemori et al. on April 1, 2003. The '226 issued from Application Serial No.
09/549,949 ("the '949 application").

The '949 application was already made of record by the Examiner in the Official
Action dated May 20, 2002 at page 2. However, since the '949 application has now issued
into the '266 patent, and to ensure that this patent will be listed on the front of any patent
which may be issued from the instant application, Applicants respectfully submit the '226
patent via this Information Disclosure Statement.

The '226 patent is being submitted after receipt of a Final Official Action, but prior
to the close of prosecution. Applicants respectfully submit that in this circumstance neither
a certified statement under 37 C.F.R. § 1.97(e) nor the fee set forth in 37 C.F.R. § 1.17(p)
should be required for this submission.

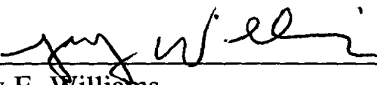
Nonetheless, pursuant to 37 C.F.R. § 1.97(e), I, the undersigned, hereby state that the '226 patent was not cited in a communication from a foreign patent office in a counterpart application and, to my knowledge after making reasonable inquiry, was not known to any individual designated in 37 C.F.R. § 1.56(c) more than three (3) months prior to the filing of this Information Disclosure Statement since such patent has only issued within the last three (3) months. Further, should the U.S. Patent and Trademark Office consider the fee set forth in 37 C.F.R. § 1.17(p) to be necessary in this circumstance, the Director is hereby authorized to charge any appropriate fees under 37 C.F.R. § 1.17(p) that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

To assist the Examiner, the document is listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: June 18, 2003

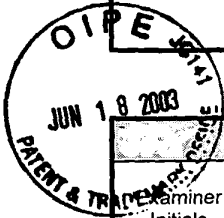
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APPLICATION NO.
09/607,361

APPLICANTS
Yasushi SHIGEMORI et al.

GROUP
1636

[illegible][illegible][illegible]Date
Considered

(05/03)